

Free Questions for IIA-CFSA by dumpssheet

Shared by Jarvis on 20-10-2022

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Question 1

Question Type: MultipleChoice

One fund may invest on mostly established "blue chip" (Companies that pay regular dividends). Another fund may invest in newer technology companies that pay no dividends but that may have more potential for growth. These are the examples of:

Options:

- A- Mutual funds
- **B-** Index funds
- C- Stock funds
- **D-** Bond funds

Answer:

С

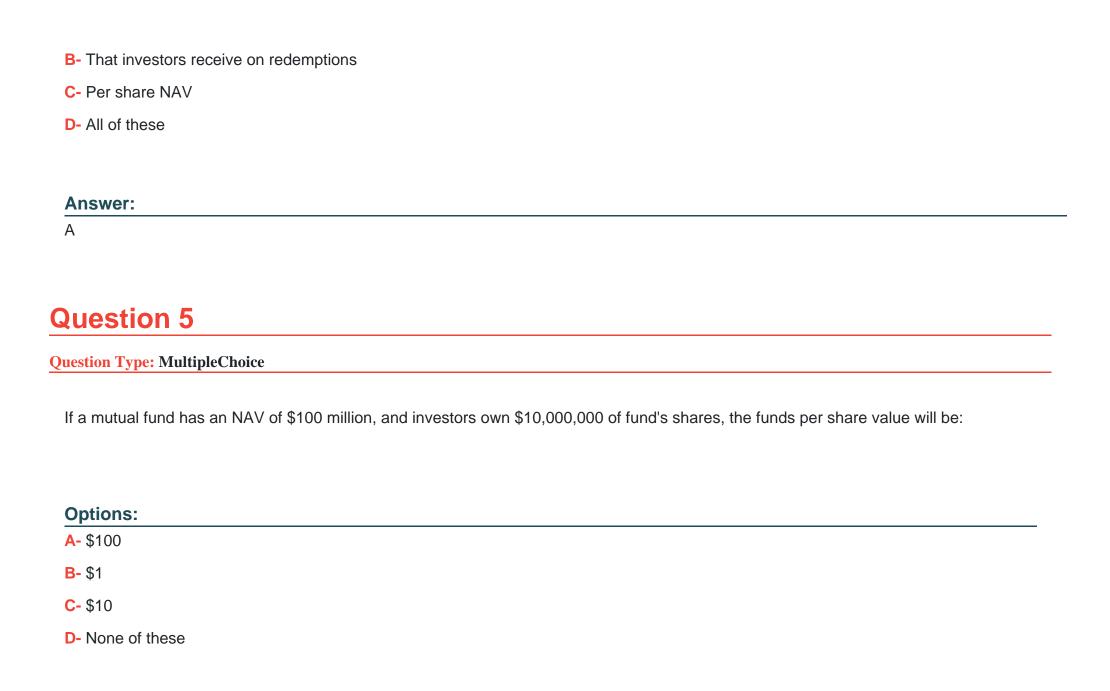
Question 2

Question Type: MultipleChoice

Close-end funds:
Options:
A- Are not redeemable
B- The investment portfolios generally are managed by separate entities
C- Are permitted to invest in a greater amount of "illiquid" securities than mutual funds.
D- All of these
Answer:
D
Question 3
Question Type: MultipleChoice

Close-end funds are traded on:

Options:	
A- A primary market	
B- Secondary market	
C- Tertiary market	
D- Are not traded anywhere	
Answer:	
В	
Question 4	
	poses is the price:
Question Type: MultipleChoice	poses is the price:
Question Type: MultipleChoice	poses is the price:
Question 4 Question Type: MultipleChoice The approximate per-share NAV plus any fees the fund im Options:	poses is the price:





C

Question 6

Question Type: MultipleChoice

There are some investment companies, known as exchange-traded funds or ETFS, which are legally classified as open-end companies or UITs. EFTs differ from traditional open-end companies and UITs because:

Options:

- A- Pursuant to SEC exemptive orders
- B- Shares issued by ETFs Traded on a secondary market
- C- Are lonely redeem able in very large blocks (Blocks of 50,000 shares for example)
- D- All of these

Answer:

D

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