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## **Question 1**

### **Question Type:** MultipleChoice

The White House Executive Order from November 2023 requires companies that develop dual-use foundation models to provide reports to the federal government about all of the following EXCEPT?

### **Options:**

- A- Any current training or development of dual-use foundation models.
- B- The results of red-team testing of each dual-use foundation model.
- C- Any environmental impact study for each dual-use foundation model.
- D- The physical and cybersecurity protection measures of their dual-use foundation models.

#### **Answer:**

C

### **Explanation:**

The White House Executive Order from November 2023 requires companies developing dual-use foundation models to report on their current training or development activities, the results of red-team testing, and the physical and cybersecurity protection measures.

However, it does not mandate reports on environmental impact studies for each dual-use foundation model. While environmental considerations are important, they are not specified in this context as a reporting requirement under this Executive Order.

## **Question 2**

### **Question Type:** MultipleChoice

According to November 2023 White House Executive Order, which of the following best describes the guidance given to governmental agencies on the use of generative AI as a workplace tool?

### **Options:**

- A- Limit access to specific uses of generative Al.
- B- Impose a general ban on the use of generative Al.
- **C-** Limit access of generative Al to engineers and developers.
- D- Impose a ban on the use of generative AI in agencies that protect national security.

#### **Answer:**

Α

### **Explanation:**

The November 2023 White House Executive Order provides guidance that governmental agencies should limit access to specific uses of generative AI. This means that generative AI tools should be used in a controlled manner, where their applications are restricted to well-defined, approved use cases that ensure the security, privacy, and ethical considerations are adequately addressed. This approach allows for the benefits of generative AI to be harnessed while mitigating potential risks and abuses.

## **Question 3**

**Question Type:** MultipleChoice

Pursuant to the White House Executive Order of November 2023, who is responsible for creating guidelines to conduct red-teaming tests of Al systems?

### **Options:**

- A- National Institute of Standards and Technology (NIST).
- B- National Science and Technology Council (NSTC).

- C- Office of Science and Technology Policy (OSTP).
- D- Department of Homeland Security (DHS).

#### **Answer:**

Α

### **Explanation:**

The White House Executive Order of November 2023 designates the National Institute of Standards and Technology (NIST) as the responsible body for creating guidelines to conduct red-teaming tests of AI systems. NIST is tasked with developing and providing standards and frameworks to ensure the security, reliability, and ethical deployment of AI systems, including conducting rigorous red-teaming exercises to identify vulnerabilities and assess risks in AI systems.

### **Question 4**

**Question Type:** MultipleChoice

Which of the following AI uses is best described as human-centric?

### **Options:**

- A- Pattern recognition algorithms are used to improve the accuracy of weather predictions, which benefits many industries and everyday life.
- **B-** Autonomous robots are used to move products within a warehouse, allowing human workers to reduce physical strain and alleviate monotony.
- C- Machine learning is used for demand forecasting and inventory management, ensuring that consumers can find products they want when they want them.
- D- Virtual assistants are used adapt educational content and teaching methods to individuals, offering personalized recommendations based on ability and needs.

#### **Answer:**

D

### **Explanation:**

Human-centric AI focuses on improving the human experience by addressing individual needs and enhancing human capabilities. Option D exemplifies this by using virtual assistants to tailor educational content to each student's unique abilities and needs, thereby supporting personalized learning and improving educational outcomes. This use case directly benefits individuals by providing customized assistance and adapting to their learning pace and style, aligning with the principles of human-centric AI.

## **Question 5**

### **Question Type:** MultipleChoice

Which of the following is the least relevant consideration in assessing whether users should be given the right to opt out from an Al system?

### **Options:**

- A- Feasibility.
- B- Risk to users.
- C- Industry practice.
- D- Cost of alternative mechanisms.

#### **Answer:**

D

### **Explanation:**

When assessing whether users should be given the right to opt out from an AI system, the primary considerations are feasibility, risk to users, and industry practice. Feasibility addresses whether the opt-out mechanism can be practically implemented. Risk to users

assesses the potential harm or benefits users might face if they cannot opt out. Industry practice considers the norms and standards within the industry. However, the cost of alternative mechanisms, while important in the broader context of implementation, is not directly relevant to the ethical consideration of whether users should have the right to opt out. The focus should be on protecting user rights and ensuring ethical AI practices.

## **Question 6**

#### **Question Type:** MultipleChoice

What is the best reason for a company adopt a policy that prohibits the use of generative AI?

### **Options:**

- A- Avoid using technology that cannot be monetized.
- B- Avoid needing to identify and hire qualified resources.
- C- Avoid the time necessary to train employees on acceptable use.
- D- Avoid accidental disclosure to its confidential and proprietary information.

#### **Answer:**

### **Explanation:**

The primary concern for a company adopting a policy prohibiting the use of generative AI is the risk of accidental disclosure of confidential and proprietary information. Generative AI tools can inadvertently leak sensitive data during the creation process or through data sharing. This risk outweighs the other reasons listed, as protecting sensitive information is critical to maintaining the company's competitive edge and legal compliance. This rationale is discussed in the sections on risk management and data privacy in the IAPP AIGP Body of Knowledge.

## **Question 7**

### **Question Type:** MultipleChoice

Which of the following deployments of generative Al best respects intellectual property rights?

### **Options:**

A- The system produces content that is modified to closely resemble copyrighted work.

- B- The system categorizes and applies filters to content based on licensing terms.
- C- The system provides attribution to creators of publicly available information.
- D- The system produces content that includes trademarks and copyrights.

#### **Answer:**

В

### **Explanation:**

Respecting intellectual property rights means adhering to licensing terms and ensuring that generated content complies with these terms. A system that categorizes and applies filters based on licensing terms ensures that content is used legally and ethically, respecting the rights of content creators. While providing attribution is important, categorization and application of filters based on licensing terms are more directly tied to compliance with intellectual property laws. This principle is elaborated in the IAPP AIGP Body of Knowledge sections on intellectual property and compliance.

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